0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/886,340
TRANSMITTAL FORM *(to be used for all correspondence during pendency of filed application)*		Filing Date	June 19, 2001
		First Named Inventor	Jiyunji Uchida
		Group Art Unit Number	**Unassigned**
		Examiner Name	**Unassigned**
Total Number of Pages in This Submission	9	Attorney Docket Number	20911-06141

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (in duplicate)	☐ Issue Fee Transmittal
☒ Check Enclosed	☐ Letter to Chief Draftsperson
☒ Return Receipt Postcard	☐ Formal Drawing(s):
☒ Response to Notice to File Missing Parts	[ ] Sheet(s) of Figure(s) [ ]
☐ Assignment & Recordation Cover Sheet	☐ Appeal Communication to Board of Appeals and
☒ Declaration	Interferences
☒ Power of Attorney	☐ Appeal Communication to Group
☒ Application Data Sheet	*(Appeal Notice, Brief, Reply Brief)*
☐ Information Disclosure Statement & PTO-1449	☐ Certified Copy of Priority Document(s)
☐ Copies of IDS Cited References	☐ After Allowance Communication to Group
☐ Request for Corrected Filing Receipt	☐ _____
☐ Request for Correction of Recorded Assignment	☐ _____
☐ Amendment/Response: [ ] Page(s)	☐ _____
☐ After Final	☐ _____
☐ Status Request	☐ _____
☐ Revocation and Substitute Power of Attorney	☐ _____

REMARKS:

SIGNATURE OF ATTORNEY OR AGENT

Signature:	*Q. C. Smith*		
Attorney/Reg. No.:	Albert C. Smith, Reg. No. 20,355	Dated:	9/24/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	*Q. C. Smith*		
Typed or Printed Name:	Albert C. Smith	Dated:	9/24/01
Express Mail Mailing Number *(optional)*:			

PTO/SB/17 (10-00)(modified)
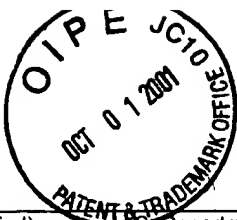Approved for use through 09/29/01, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified)
Rev. 10/2000

U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **($)130.00**

Complete if Known	
Application Number	Unassigned
Filing Date	June 19, 2001
First Named Inventor	Jiyunji Uchida
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	29011-06141

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

☐ Charge the indicated fees to the below mentioned deposit account.

☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †

☐ Applicant claims small entity status
See 37 CFR 1.27

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ **Payment Enclosed:**
☒ Check ☐ Credit Card ☐ Other

FEE CALCULATION (fees effective 10/01/2000)

1. FILING FEE

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
101/$710	201/$355	Utility Filing	
106/$320	206/$160	Design Filing	
108/$710	208/$355	Reissue	
114/$150	214/$75	Provisional Filing	

SUBTOTAL (1) ($) 0

2. CLAIMS

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description
103/$18	203/$9	Claims in excess of 20
102/$80	202/$40	Independent claims in excess of 3
104/$270	204/$135	Multiple dependent claim
109/$80	209/$40	Reissue independent claims over original patent
110/$18	210/$9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due
105/$130	205/$65	Surcharge - late filing fee or oath	130
127/$50	227/$25	Surcharge-late provisional filing fee or cover sheet	
147/$2,520	147/$2,520	For filing a request for reexamination	
115/$110	215/$55	Extension for response within first month†	
116/$390	216/$195	Extension for response within second month†	
117/$890	217/$445	Extension for response within third month†	
118/$1,390	218/$695	Extension for response within fourth month†	
128/$1,890	228/$945	Extension for response within fifth month†	
119/$310	219/$155	Notice of Appeal	
141/$1,240	241/$620	Petition to revive unintentionally abandoned application	
142/$1,240	242/$620	Utility Issue Fee (Or Reissue)	
143/$440	243/$220	Design Issue Fee	
122/$130	122/$130	Petitions to the Commissioner	
126/$180	126/$180	Submission of Information Disclosure Statement	
179/$710	279/$355	Request for Continued Examination (RCE)	
581/$40	581/$40	Recording each patent assignment per property (times number of properties)	
146/$710	246/$355	Filing a submission after final rejection (37 CFR 1.129(a))	
149/$710	249/$355	For each additional invention to be examined (37 CFR 1.129(b))	
		Other fee (specify):	
		Other fee (specify):	

SUBTOTAL (3) ($) 130.00

For	(Col. 1) No. of Existing Claims		(Col. 2) Highest No. Previously Paid For			(Col. 3) Extra**		Fee		Fee Due
TOTAL	18	minus*	20	or	0	=	0	x	=	0
INDEP	2	minus*	3	or	0	=	0	x	=	0
[ ] First presentation of multiple dependent claim									=	0

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) ($) 0

SUBMITTED BY

Typed or Printed Name	Albert C. Smith
Signature	Q. C. Smith

Complete (if applicable)	
Reg. Number	20,355
Date	9/29/01

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

Rev. 09/30/2000
20911/01000/DOCS/1182726.1

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Jiyunji Uchida et al.

APPLICATION NO.:   09/886,340

FILING DATE: June 19, 2001

TITLE: ELECTRONIC DOCUMENT CLASSIFICATION SYSTEM

EXAMINER: Unassigned

GROUP ART UNIT: Unassigned

ATTY. DKT. NO.:   20911-06141

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: _____9/24/01_____   By: _____a _C. Smith_____

Albert C. Smith, Reg. No.: Reg. No. 20,355

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

SIR:

Responsive to the Notice to File Missing Parts dated June 19, 2001 received in the above-identified patent application,

☒ Enclosed are:

   ☒ a copy of the Notice to File Missing Parts;

   ☒ an original, signed Declaration;

   ☒ an original, signed Power of Attorney;

   ☒ an Application Data Sheet;

   ☒ payment in the amount of $130.00 for the

      ☐ application filing fee;

☐ fee for additional claims; and

☒ missing parts surcharge.
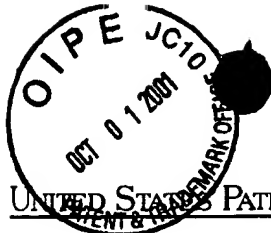
☐ Other

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
JIYUNJI UCHIDA ET AL.

Dated: 9|24|01 By: _Albert C. Smith_

Albert C. Smith, Reg. No.: 20,355
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7296
Fax.: (650) 494-1417

UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,340	06/19/2001	Jiyunji Uchida	20911-06141

CONFIRMATION NO. 4451

758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

FORMALITIES LETTER

OC000000006418856

Date Mailed: 08/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is $ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/03/2001 HNOOR1   00000024 09886340
01 FC:105   130.00 OP